

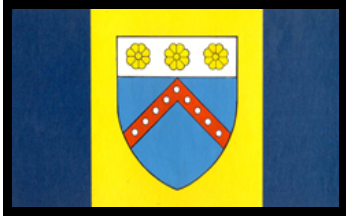
Assumption University

Martin de Tours School of Management

Department of Finance and Banking



First Orientation
(New Curriculum)
Semester 1/2010



Department of Finance and Banking

Finance is both the art and science of money management. It is an integral part of all modern economies and also important to the success of any entity: individuals, businesses and government. Finance and Banking program provides the students with the theoretical financial principles, analytical tools, and practical knowledge for making ethical and sound financial decision in a modern business environment.

The program emphasizes on three core areas including corporate finance, financial institutions and investment with two major concentrations: Investment and Financial Institutions. It also prepares the students for a variety of professional careers in the both financial and non-financial services industries as well as further study in a higher degree.

Corporate finance is a study of business operations from the financial manager's perspectives. It focuses on the understanding of the financial statements, analyzing the financial performance, planning and controlling, capital budgeting, long-term financial planning, capital structure, and valuation.

Investment concentration covers the investment options, investment objectives, investment strategies and portfolio management. The students need to analyze the best alternative investment opportunities given a risk and return objective.

Financial institutions concentration provides the students with the understanding of the structure, business operations, policies, theories, regulation and management of the financial intermediaries. The areas covered are foreign exchange transactions, international transactions, risk management, credit analysis and evaluation, and the impacts of the changes on business and economic environments.

Dr. Pathathai Sinliamthong

Chairperson

Email: pathathaisnl@au.edu

Huamak: 02-300-4543-62 Ext # 1125 D6, Room # 9

Suvarnabhumi: 02-723-2222 # 5137 MSM 2nd floor

P.S. Should the students need further information, please feel free to contact me at the office or e-mail. Advices, comments and recommendations are always welcome.

Finance and Banking Department's Objectives

- To provide the students with the theoretical financial principles, analytical tools, and practical knowledge for making ethical and sound financial decisions
- To develop the students a critical vision towards making successful strategic decisions in the businesses and the finance industries
- To enhance the students with a solid financial knowledge and analytical skills required for successful business professions, further studies as well as professional accreditations

Finance and Banking Department's Vision

To be recognized as a leading program in finance and banking attracting the best faculty members and students and producing ethical and good quality graduates who can compete effectively in the changing business environment and make valuable contributions to the social and the economic development of a country.

Finance and Banking Department's Mission

To produce competent graduates who are independent minded with necessary knowledge and skills to effectively communicate in the international environment, make wise and ethical decisions to shape the future success of the organizations, and have analytical mind with creativity and problems solving abilities.

B.B.A. Curriculum Structure

Requirements	Number of Courses	Numbers of Credits
<i>General Educational Courses:</i>	14	40
Language Courses	5	15
Social Science Courses	4	12
Humanity Courses	3	7
Science and Mathematics Courses	2	6
<i>Professional Courses:</i>	33	93
Business Core Courses	18	48
Major Required Courses	10	30
Concentration Courses Plan A: Major Concentration* Plan B: Business Concentration* Plan C: Major Electives*	5	15
Free Elective Courses	3	9
Total	50	142

Note*

Plan A: Major Concentration

Choose one major concentration (Investment and Financial Institutions) and take all *five* courses.

Plan B: Business Concentration

Choose one business concentration (Accounting, Business Information System, International Business Management, Management, Marketing, Real Estate, Industrial Management and Insurance Management) and take all *five* courses.

Plan C: Major Electives

Choose *five* courses from major elective courses.

Finance and Banking Major Required Courses (30 Credits)

The students must take all **ten major required courses***.

Course Code	Course Title	Year/** Semester	Prerequisites+
BIS 3589	Analysis Tools in Finance and Accounting	3/1	BIS1140 and BIS2180
FIN 3711	Investment	3/2	FIN3701
FIN 3712	Money and Capital Markets	3/1	FIN2700
FIN 3713	Business Analysis and Valuation	3/2	FIN3701
FIN 3714	Business Condition Analysis	3/1	BG2400
FIN 4812	International Finance	4/1	FIN3701 + 106 Cr
FIN 4813	Financial Management	4/1	FIN3701 + 106 Cr
FIN 4814	Quantitative Methods for Financial Decision	4/1	FIN3711
FIN 4815	Bank Management	4/1	FIN3712
FIN 4816	Corporate Strategy and Financial Policies	4/2	FIN3701 + 121 Cr

Note:

- * *All major required courses are offered in the first and the second semester, not in summer (“C” is the passing grade.).*
- ** *Suggested study plan in the specified year/semester.*
- + *Prerequisites must be completed before taking the subject.*

In addition to the major required courses, the students **must choose one of the three concentrations: Plan A, Plan B or Plan C.**

Plan A: Major Concentration Courses (15 Credits)

Students pursuing **PLAN A*** must select a concentration in the **major area** and study the five specified courses.

PLAN A: Investment

Course Code	Course Title	Year/** Semester	Prerequisites+
FIN 3731	Portfolio Management and Security Analysis	4/2	FIN3711
FIN 3832	Real Estate Investment Analysis and Valuation	3/2	FIN3701
FIN 4732	Fixed Income Securities	3/2	FIN3701
FIN 4831	Derivatives Securities	4/1	FIN3711
FIN 4931	Seminar in Investment	4/2	121 Cr

PLAN A: Financial Institutions++

Course Code	Course Title	Year/** Semester	Prerequisites+
FIN 3733	Institutional Banking for Emerging Markets***	3/2	FIN3712
FIN 3743	International Banking	4/1	FIN3712
FIN 4732	Fixed Income Securities	3/2	FIN3701
FIN 4841	Credit and Risk Management	4/2	FIN3711
FIN 4941	Seminar in Financial Institutions	4/2	121 Cr

Notes:

- * *All major concentration courses' passing grades ("A" to "D" Grade)*
- ** *Subjects are offered during stated year/semester. Suggested study plan in the specified year/semester.*
- *** For students **ID 511XXXX and above only**
- + *Prerequisites* must be completed before taking the subject.
- ++ **Financial Institutions Concentration may be opened upon request with condition.**

Plan B: Business Concentration Courses (15 Credits)

Students pursuing **PLAN B*** must select a concentration in other **business areas** and study the five specified courses.

B1. Accounting (ACT)

Course Code	Course Title	Year/** Semester	Prerequisites+
ACT 3602	Intermediate Accounting I	ALL	ACT 1600
ACT 3603	Intermediate Accounting II	ALL	ACT 1600
ACT 3604	Taxation	ALL	BG 1401
ACT 3621	Budgeting and Planning	ALL	ACT 2620
ACT 3622	Cost Accounting	ALL	ACT 2620

B2. Business Information Systems (BIS)

Course Code	Course Title	Year/** Semester	Prerequisites+
BIS 3315	Programming and Algorithms	ALL	BIS 1140
BIS 3635	Database Systems	ALL	BIS 1140 and BIS 2180
BIS 3655	Data Communications and Networking	ALL	BIS 2180
BIS 3666	Information Systems Analysis and Design	ALL	BIS 3635
BIS 4675	Information Systems Project Management	ALL	Senior standing 106Cr

B3. International Business Management (IBM)#

Course Code	Course Title	Year/** Semester	Prerequisites+
IBM 3711	Comparative Management	ALL	IBM 2702
IBM 3713	International Management	ALL	IBM 3711
IBM 3714	Export-Import Policy and Strategy	ALL	MKT 3620
IBM 4811	International Strategic Management	ALL	MGT 2900 and Senior
MKT 3620	Global Marketing	ALL	MKT 2280

Plan your study carefully since the above 5 subjects under IBM have prerequisites (IBM3711 and MKT3620 should be taken early)

B4. Management (MGT)

Course Code	Course Title	Year/** Semester	Prerequisites+
MGT 3915	Project Management	2 nd sem	MGT 3905
MGT 3917	Innovation and Change Management	1 st sem	MGT 2900
MGT 3922	Introduction to New Ventures	2 nd sem	MGT 2900
MGT 4910	Productivity and Quality Management	ALL	MGT 3905
MGT 4916	Negotiation Strategy	2 nd sem	MGT 2404 and MGT 2900

B5. Marketing (MKT)

Course Code	Course Title	Year/** Semester	Prerequisites+
MKT 3525	Sales Management	ALL	MKT 2280
MKT 3530	Consumer Behavior	ALL	MGT 2404 and MKT 2280
MKT 4725	Competitive Analysis and Strategy	ALL	MKT 2280
MKT 4730	Marketing Management	ALL	MKT 2280 and MGT 2900, 106 Cr
MKT 4809	Marketing for Services	ALL	MKT 2280, Senior

B6. Real Estate (REM)

Course Code	Course Title	Year/** Semester	Prerequisites+
REM 3111	Principles of Real Estate	Consult with REM Department	MGT 1101
REM 3112	Real Estate Law		BG 1401
REM 3113	Real Estate Economics		BG 2400
REM 3114	Building Design and Construction Techniques		None
REM 3211	Principles of Real Estate Development		MGT 2900 and REM 3111

B 7. Industrial Management (IDM)***
(For students ID511XXXX and above only)

Course Code	Course Title	Year/** Semester	Prerequisites+
IDM 3202	Motion and Time Study	Consult with IDM Department for semester and year offering of the subjects	BG1200, BG2200 & MGT3905
IDM 3203	Logistics and Supply Chain Management		MGT3905 & MKT2280
IDM 3204	Industrial Safety and Risk Management		MGT2900
IDM 4201	Manufacturing Planning and Control		MGT3905
IDM 4203	Quality Management		MGT3905

B 8. Insurance Management (INS)***
(For students ID511XXXX and above only)

Course Code	Course Title	Year/** Semester	Prerequisites+
IC 3331	Property Insurance	Consult with INS Department for semester and year offering of the subjects	IG 1211
IC 3332	Casualty Insurance		IG 1211
IG 1211	Principles of Risk Management and Insurance		None
IL 3321	Principles of Life Assurance		IG 1211
IL 3322	Advanced Life and Health Insurance		IL 3321

Notes:

- * *All business concentration courses' passing grades ("A" to "D" Grade)*
- ** *Subjects are offered only in a stated year/semester.(ALL = 1st and 2nd semester).*
- *** For students ID 511XXXX and above only
- + *Prerequisites* must be completed before taking the subject.

Plan C: Major Elective Courses (15 Credits)

Students pursuing **PLAN C*** must select any **five courses** from the following major elective courses.

Course Code	Course Title	Year/** Semester	Prerequisites+
BIS 3588	Digital Business Enterprises		BIS 2180
BIS 3687	Electronic Banking and Payment Systems		BIS 2180
FIN 3715	Personal Finance (previous code FIN4722)		FIN 2700 or ECO3713
FIN 3723++	Central Banking System and Policy		FIN 2700 or ECO3713
FIN 3724	Financial Feasibility Planning		FIN 3701
FIN 3725	Managerial Economics		BG 2400, BG 2401
FIN 3731	Portfolio Management and Security Analysis		FIN 3711
FIN 3733***	Institutional Banking for Emerging Markets		FIN 3712
FIN 3743++	International Banking		FIN3712
FIN 3832	Real Estate Investment Analysis and Valuation		FIN 3701
FIN 4721++	Laws and Practices in Finance		FIN 3712
FIN 4723	Export-Import Financing		FIN 3701
FIN 4732	Fixed Income Securities		FIN3701
FIN 4821	Behavioral Finance		FIN 3701, MGT 2404
FIN 4822++	Individual Research		Dept. Approval, Senior
FIN 4831	Derivatives Securities		FIN 3711
FIN 4832	Entrepreneurial Finance		FIN 3701
FIN 4841	Credit and Risk Management		FIN3711
FIN 4931	Seminar in Investment		Dept. Approval, 121 Cr.
FIN 4941++	Seminar in Financial Institutions		Dept. Approval, 121 Cr.

Notes:

- * *All major elective courses' passing grades ("A" to "D" Grade)*
- ** *Subjects are offered only in a stated year/semester.*
(ALL = 1st and 2nd semester).
- *** For students ID 511XXXX and above only
- + *Prerequisites* must be completed before taking the subject.
- ++ **Subjects may be opened upon request with certain condition.**

Free Elective Courses (9 credits)

Students must choose three courses from the School of Management or others faculties. **Note: Certain subjects cannot be taken as free elective courses (pls check with MSM or www.aufinance.net)**

**BACHELOR OF BUSINESS ADMINISTRATION
FINANCE AND BANKING PROGRAM****First Year (Freshman)***First Semester*

Course Code	Course Title	Credits
BG 1000	Communication in Thai	3 (3 – 0)
BG 1001	English I	3 (3 – 0)
BG 1100	Physical Education	1 (1 – 0)
BG 1200	Mathematics for Business	3 (3 – 0)
BG 1201	Statistics I	3 (3 – 0)
BG 1202	Science, Man and His Environment	3 (3 – 0)
BG 1400	Business Law I	<u>3 (3 – 0)</u>
		<u>19</u>

Second Semester

Course Code	Course Title	Credits
ACT 1600	Fundamentals of Financial Accounting	3 (3 – 0)
BG 1002	English II	3 (3 – 0)
BG 1401	Business Law II	3 (3 – 0)
BG 2200	Statistics II	3 (3 – 0)
BG 2401	Microeconomics	3 (3 – 0)
BIS 1140	Microcomputer Applications	0 (0 – 1)
MGT 1101	Introduction to Business	<u>3 (3 – 0)</u>
		<u>18</u>

Second Year (Sophomore)

First Semester

Course Code	Course Title	Credits
ACT 2620	Fundamentals of Managerial Accounting	3 (3 – 0)
BG 2000	English III	3 (3 – 0)
BG 2400	Macroeconomics	3 (3 – 0)
BIS 2180	Information Technology	3 (3 – 0)
MGT 2404	Managerial Psychology	3 (3 – 0)
MGT 2900	Principles of Management	<u>3 (3 – 0)</u>
		<u>18</u>

Second Semester

Course Code	Course Title	Credits
BG 2001	English IV	3 (3 – 0)
BG 2402	Ethics	3 (3 – 0)
FIN 2700	Money, Banking and Financial Markets	3 (3 – 0)
GS 1003	World Civilization	3 (3 – 0)
IBM 2702	International Business Environment	3 (3 – 0)
MKT 2280	Principles of Marketing	<u>3 (3 – 0)</u>
		<u>18</u>

Third Year (Junior)

First Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
BIS 3340	Computer Tools in Research	0 (0 – 1)
BIS 3589	Analysis Tools in Finance and Accounting	3 (3 – 0)
FIN 3701	Corporate Finance	3 (3 – 0)
FIN 3712	Money and Capital Markets	3 (3 – 0)
FIN 3714	Business Condition Analysis	3 (3 – 0)
MGT 3905	Operations Management	3 (3 – 0)
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>18</u>

Second Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
FIN 3711	Investment	3 (3 – 0)
FIN 3713	Business Analysis and Valuation	3 (3 – 0)
MGT 3907	Business Communication	3 (3 – 0)
MGT 3940	Business Research Methodology	3 (3 – 0)
One Plan A / Plan B / Plan C Course		3 (3 – 0)
One Plan A / Plan B / Plan C Course		<u>3 (3 – 0)</u>
		<u>18</u>

Fourth Year (Senior)

First Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
FIN 4812	International Finance	3 (3 – 0)
FIN 4813	Financial Management	3 (3 – 0)
FIN 4814	Quantitative Methods for Financial Decisions	3 (3 – 0)
FIN 4815	Bank Management	3 (3 – 0)
One Plan A / Plan B / Plan C Course		3 (3 – 0)
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>18</u>

Second Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0– 0)
FIN 4816	Corporate Strategies and Financial Policies	3 (3 – 0)
MGT 4914	Entrepreneurship	3 (3 – 0)
One Plan A / Plan B / Plan C Course		3 (3 – 0)
One Plan A / Plan B / Plan C Course		3 (3 – 0)
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>15</u>

Suggested Study Plan (Plan A: Major Concentration)

(PLAN A: INVESTMENT)

Third Year (Junior)

First Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
BIS 3340	Computer Tools in Research	0 (0 – 1)
BIS 3589	Analysis Tools in Finance and Accounting	3 (3 – 0)
FIN 3701	Corporate Finance	3 (3 – 0)
FIN 3712	Money and Capital Markets	3 (3 – 0)
FIN 3714	Business Condition Analysis	3 (3 – 0)
MGT 3905	Operations Management	3 (3 – 0)
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>18</u>

Second Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0(0 – 0)
FIN 3711	Investment	3(3 – 0)
FIN 3713	Business Analysis and Valuation	3(3 – 0)
MGT 3907	Business Communication	3(3 – 0)
MGT 3940	Business Research Methodology	3(3 – 0)
FIN 3832	Real Estate Investment Analysis and Valuation	3(3 – 0)
FIN 4732	Fixed Income Securities	<u>3(3 – 0)</u>
		<u>18</u>

Fourth Year (Senior)

First Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
FIN 4812	International Finance	3 (3 – 0)
FIN 4813	Financial Management	3 (3 – 0)
FIN 4814	Quantitative Methods for Financial Decisions	3 (3 – 0)
FIN 4815	Bank Management	3 (3 – 0)
FIN 4831	Derivatives Securities	3 (3 – 0)
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>18</u>

Second Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0– 0)
FIN 4816	Corporate Strategies and Financial Policies	3 (3 – 0)
MGT 4914	Entrepreneurship	3 (3 – 0)
FIN 3731	Portfolio Management and Security	3 (3 - 0)
FIN 4931	Seminar in Investment	3 (3 – 0)
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>15</u>

(PLAN A: FINANCIAL INSTITUTIONS)

Third Year (Junior)

First Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 - 0)
BIS 3340	Computer Tools in Research	0 (0 - 1)
BIS 3589	Analysis Tools in Finance and Accounting	3 (3 - 0)
FIN 3701	Corporate Finance	3 (3 - 0)
FIN 3712	Money and Capital Markets	3 (3 - 0)
FIN 3714	Business Condition Analysis	3 (3 - 0)
MGT 3905	Operations Management	3 (3 - 0)
One Free Elective Course		<u>3 (3 - 0)</u>
		<u>18</u>

Second Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 - 0)
FIN 3711	Investment	3 (3 - 0)
FIN 3713	Business Analysis and Valuation	3 (3 - 0)
MGT 3907	Business Communication	3 (3 - 0)
MGT 3940	Business Research Methodology	3 (3 - 0)
FIN 3733	Institutional Banking for Emerging Markets	3 (3 - 0)
FIN 4732	Fixed Income Securities	<u>3 (3 - 0)</u>
		<u>18</u>

Fourth Year (Senior)

First Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
FIN 4812	International Finance	3 (3 – 0)
FIN 4813	Financial Management	3 (3 – 0)
FIN 4814	Quantitative Methods for Financial Decisions	3 (3 – 0)
FIN 4815	Bank Management	3 (3 – 0)
FIN 3743	International Banking	3 (3 – 0)
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>18</u>

Second Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0– 0)
FIN 4816	Corporate Strategies and Financial Policies	3 (3 – 0)
MGT 4914	Entrepreneurship	3 (3 – 0)
FIN 4841	Credit and Risk Management	3 (3 – 0)
FIN 4941	Seminar in Financial Institutions	3 (3 – 0)
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>18</u>

(PLAN B: Business Concentration)

Third Year (Junior)

First Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
BIS 3340	Computer Tools in Research	0 (0 – 1)
BIS 3589	Analysis Tools in Finance and Accounting	3 (3 – 0)
FIN 3701	Corporate Finance	3 (3 – 0)
FIN 3712	Money and Capital Markets	3 (3 – 0)
FIN 3714	Business Condition Analysis	3 (3 – 0)
MGT 3905	Operations Management	3 (3 – 0)
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>18</u>

Second Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
FIN 3711	Investment	3 (3 – 0)
FIN 3713	Business Analysis and Valuation	3 (3 – 0)
MGT 3907	Business Communication	3 (3 – 0)
MGT 3940	Business Research Methodology	3 (3 – 0)
Two Business Concentration Courses		<u>6 (6 – 0)</u>
		<u>18</u>

Fourth Year (Senior)

First Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
FIN 4812	International Finance	3 (3 – 0)
FIN 4813	Financial Management	3 (3 – 0)
FIN 4814	Quantitative Methods for Financial Decisions	3 (3 – 0)
FIN 4815	Bank Management	3 (3 – 0)
One Business Concentration Course		<u>3 (3 – 0)</u>
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>18</u>

Second Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
FIN 4816	Corporate Strategies and Financial Policies	3 (3 – 0)
MGT 4914	Entrepreneurship	3 (3 – 0)
Two Business Concentration Courses		<u>6 (6 – 0)</u>
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>15</u>

(PLAN C: Major Electives)

Third Year (Junior)

First Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
BIS 3340	Computer Tools in Research	0 (0 – 1)
BIS 3589	Analysis Tools in Finance and Accounting	3 (3 – 0)
FIN 3701	Corporate Finance	3 (3 – 0)
FIN 3712	Money and Capital Markets	3 (3 – 0)
FIN 3714	Business Condition Analysis	3 (3 – 0)
MGT 3905	Operations Management	3 (3 – 0)
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>18</u>

Second Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
FIN 3711	Investment	3 (3 – 0)
FIN 3713	Business Analysis and Valuation	3 (3 – 0)
MGT 3907	Business Communication	3 (3 – 0)
MGT 3940	Business Research Methodology	3 (3 – 0)
Two Major Elective Courses		<u>6 (6 – 0)</u>
		<u>18</u>

Fourth Year (Senior)

First Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
FIN 4812	International Finance	3 (3 – 0)
FIN 4813	Financial Management	3 (3 – 0)
FIN 4814	Quantitative Methods for Financial Decisions	3 (3 – 0)
FIN 4815	Bank Management	3 (3 – 0)
One Major Elective Course		<u>3 (3 – 0)</u>
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>18</u>

Second Semester

Course Code	Course Title	Credits
BG 1403	Business Ethics Seminar	0 (0 – 0)
FIN 4816	Corporate Strategies and Financial Policies	3 (3 – 0)
MGT 4914	Entrepreneurship	3 (3 – 0)
Two Major Elective Courses		<u>6 (6 – 0)</u>
One Free Elective Course		<u>3 (3 – 0)</u>
		<u>15</u>

Major Required Courses

BIS3589 Analysis Tools in Finance and Accounting 3(3-0-6)

Prerequisite: *BIS1140 Microcomputer Applications and
BIS2180 Information Technology*

This course is designed to introduce spreadsheet software for various finance and accounting applications. Specific applications of financial statements, financial planning and analysis, capital budgeting, stock and bond valuation are also discussed.

FIN3711 Investment 3(3-0-6)

Prerequisite: *FIN 3701 Corporate Finance*

The course covers the features and trading methods of the securities markets, the analysis of risk and return in the context of formal pricing models such as the Capital Asset Pricing Model and the Arbitrage Pricing Theory. The course also includes basic techniques of securities valuation and management of securities in a portfolio context, analysis of bonds, duration, and convexity, valuation of stocks using models such as discounted cash flow models, P/E multiples, and alternative-pricing models, implication of efficient market theory and anomalies on fundamental and technical analysis, the concept of portfolio diversification and the construction of optimal portfolios such as mean-variance efficient portfolios and the introductions to options and futures markets

FIN3712 Money and Capital Markets 3(3-0-6)

Prerequisite: *FIN 2700 Money, Banking and Financial (OR)
ECO3713 Economics of Money and Banking*

The course introduces the structural characteristics of the money and capital markets such as the operations, regulations, management, instruments, market participants, and their roles in the financial environment. Topics include how the intermediaries facilitate the flow of funds and how interest rates change with the market conditions.

FIN3713 Business Analysis and Valuation 3(3-0-6)

Prerequisite: FIN 3701 Corporate Finance

The course focuses on applying the financial statements as a framework for business analysis. It also integrates the concepts not only from accounting perspectives but also economics and business perspectives to make sound business decisions. The objective is to go beyond the accounting concepts in order to evaluate the companies' performance and value the companies.

FIN3714 Business Condition Analysis 3(3-0-6)

Prerequisite: BG 2400 Macroeconomics

The purpose of this course is to encourage students to think systematically about the state of the economy and macroeconomic policies and develop skills necessary to evaluate the economic environment within which business and financial decisions are made. It emphasizes on the application of macroeconomic theories to understand the impacts of governmental policies on the industry and the financial markets. Specifically, the course emphasizes on the determinants of national income, business cycles and the relationship between money supply, inflation, interest rates and financial assets.

FIN4812 International Finance 3(3-0-6)

Prerequisite: FIN 3701 Corporate Finance and completion of 106 credits

The course offers an understanding of the international financial environment and financial management by multinational corporations. It focuses on exchange rate determinations, measurement and management of exchange rate risk, investment, funding and capital structure decision of multinational corporations.

FIN4813 Financial Management 3(3-0-6)

Prerequisite: FIN 3701 Corporate Finance and completion of 106 credits

It is an advanced course based on case-study approach to strategically evaluate the performance of corporations and create value for shareholders. Topics include working capital management, capital budgeting, cost of capital, dividends policy, and financing and capital structure.

FIN4814 Quantitative Methods for Financial Decision 3(3-0-6)

Prerequisite: FIN 3711 Investment

The course objective is to acquaint students with modern quantitative techniques such as probability, statistics, linear programming and other mathematical models which may be applied to making financial decisions. Topics include the probability theory, Bayes' theorem, random variables, utility and choices under uncertainty, mathematical programming and decision theory. In particular, emphasis will be placed on the concerned topics as applied to theories of investment decision, costs of capital and capital valuations and working capital management.

FIN4815 Bank Management 3(3-0-6)

Prerequisite: FIN 3712 Money and Capital Markets

The course focuses on various aspects of bank management. Topics include the nature of commercial banks, assets, liabilities and capital management, liquidity management, credit management and risk management. The course also includes current issues in the banking industry.

FIN4816 Corporate Strategy and Financial Policies 3(3-0-6)

*Prerequisite: FIN 3701 Corporate Finance and
Senior standing (121 Credits)*

This course emphasizes on the management, the issuance and the distribution policy of equity and debt as well as the choice of optimal capital structure. The course provides a theoretical framework used in analyzing corporate and financial strategies while focusing on the current issues in finance such as merger and acquisition, joint ventures and other forms of corporate restructuring as well as their tax implications.

Business Concentration Courses (Plan B)

B1. Accounting (ACT)

ACT3602 Intermediate Accounting I 3(3-0-6)

Prerequisite: ACT 1600 Fundamentals of Financial Accounting

A detailed study of accounting conceptual framework, accounting principles, practices and problems of accounting for assets: definition, classification, measurements, valuation, impairment of assets, presentation and disclosure of assets in balance sheet. Its scope includes accounting for cash, marketable securities, notes receivable, accounts receivable, merchandise inventory, investments, tangible and intangible assets, natural resources, and different methods of depreciation and the difference between capital and revenue expenditure.

ACT 3603 Intermediate Accounting II 3(3-0-6)

Prerequisite: ACT 1600 Fundamentals of Financial Accounting

A detailed study of accounting principles and practices in accounting for short term liabilities, long term liabilities and owner's equity: definition, classification, measurements, valuation, presentation and disclosure on financial statements. Accounting for Partnership: organization, operation, dissolution, liquidation, financial statements preparation and presentation. Accounting for Corporation: organization, issuance of stock, increase and decrease of capital, additional paid-in capital, earnings per share, retained earnings, appropriate retained earnings, liquidation, and financial statements preparation and presentation.

ACT 3604 Taxation 3(3-0-6)

Prerequisite: BG 1401 Business Law II

A survey of factors relating to taxes paid by individuals and business enterprises: principles and features of taxation according to Revenue Codes; methods of various tax liability appraisal and collection such as personal income tax, corporate tax, business tax, duties and stamps, and other kinds of taxes involved in business.

ACT 3621 Budgeting and Planning 3(3-0-6)

Prerequisite: ACT 2620 *Fundamentals of Managerial Accounting*

The process in preparing budgets for managerial planning and control: procedures for various types of budgets such as cash budgeting, capital budgeting, sales budgets, production budgets, interrelationship among budgets, cost-volume-profit analysis and other related topics.

ACT 3622 Cost Accounting 3(3-0-6)

Prerequisite: ACT 2620 *Fundamentals of Managerial Accounting*

This course is designed to enable students to understand cost concepts, cost terms, definition, important and usefulness of cost accounting information for planning, and controlling under certain and uncertain circumstance, cost accumulation, techniques in cost analysis, preparing production cost reports, accounting procedures for raw materials, labor and overhead costs as well as various cost accounting approaches: job-order accounting, process costing, point-product and by product costs, cost control by means of standard costs; analysis of variances; preparing production cost reports; cost volume profit relationship; variable costing system; budgeting and planning; pricing and transfer price; and activity base costing.

B2. Business Information System (BIS)

BIS 3315 Programming and Algorithms 3 (3-0-6)

Prerequisite: BIS 1140 *Microcomputer Applications*

This course is designed to introduce the concepts in programming based on selected programming languages. It includes essential programming tools, logics and algorithms, as well as program coding and debugging.

BIS 3635 Database Systems 3 (3-0-6)

Prerequisite: BIS 1140 *Microcomputer Applications*

BIS 2180 Information Technology

The course covers various data models with the focus on relational database systems and design through entity-relationship diagram and normalization. Database management system (DBMS), query, integrity and security of database are included.

BIS 3655 Data Communications and Networking 3 (3-0-6)

Prerequisite: BIS 2180 Information Technology

This course introduces data communications and computer networks. Topics include communication devices, transmission media, various network protocols, network design, security, and management.

BIS 3666 Information Systems Analysis and Design 3 (3-0-6)

Prerequisite: BIS 3635 Database Systems

This course provides a methodical approach by emphasizing on the strategies, tools and techniques of systems analysis and design. Topics range from studying the existing systems with DFD and conducting feasibility study to designing interfaces, databases, processes, management reports, as well as planning in deployment and maintenance.

BIS 4675 Information Systems Project Management 3 (3-0-6)

Prerequisite: Senior standing (106 credits and above)

This course introduces basic concepts of information-system project management. The understanding of how to oversee or take parts in all project activities is systematized. Project planning and modeling, budgeting, Information Technology management, and business objective realization are also covered.

B3. International Business Management

IBM 3711 Comparative Management 3 (3-0-6)

Prerequisite: IBM 2702 International Business Environment

This IBM foundations course focuses on the different elements of culture that have an impact on the internationalization process, organizational structures, strategies, operations, and communications.

IBM 3713 International Management 3 (3-0-6)

Prerequisite: IBM 3711 Comparative Management

International Management is concerned with management of assets and operations beyond the borders of one's home country. This course focuses on managers' fundamental responsibilities for planning, organizing, leading and controlling firms' activities across cultures.

IBM 3714 Export-Import Policy and Strategy 3 (3-0-6)

Prerequisite: MKT 3620 Global Marketing

This course covers the issues involved in developing comprehensive export/import strategies at the national, sector, and firm levels. Topics include government institutions and their roles in balancing exports and imports among sectors; the strategic use of export-import organizations to promote economic and competitive advantages; procedures and practices of export-import financing, documentation, export/import compliance, export/import channels, foreign trade zones, and transportation modes.

IBM 4811 International Strategic Management 3 (3-0-6)

*Prerequisite: MGT2900 Principles of Management
Senior standing (IBM Major)*

This course is a synthesis of strategic management amidst the phenomena of globalization. It is designed to encourage students to integrate and apply knowledge and skills learned in earlier courses, and it also introduces the critical business skills of understanding and managing strategic issues in international settings. Issues covered include environmental analysis, the challenges and benefits of globalization, the design of global corporate strategies, the system of value creation, and evaluation of corporate strategies.

MKT 3620 Global Marketing 3(3-0-6)

Prerequisite: MKT 2280 Principles of Marketing

Topics discussed include principles and operations of international and global marketing concepts, the international marketing environment; trade promotion activities of the government and private agencies environmental aspects of international market; financial features and instruments, marketing structure of international trade, terms of trade and credit. International marketing position, foreign market survey, segmentation, targeting and positioning, sourcing strategies, global market entry strategies, strategic elements of competitive advantage, global marketing mix including product decisions, pricing, channels of distribution and global marketing communication mixes will also be discussed.

B4. Management (MGT)

MGT 3915 Project Management 3(3-0-6)

Prerequisite: MGT 3905 Operations Management

A study of project planning procedure by considering factors in determining project objectives, setting of work system, allocation of resources, project feasibility analysis, matrix model organization, management techniques and operation procedures in accomplishing goal such as implementation, communication, coordination, controlling and evaluation of the project.

MGT 3917 Innovation and Change Management 3(3-0-6)

Prerequisite: MGT 2900 Principles of Management

This course deals with the concept of innovation and change management. It views innovation as a management process with external linkages. The role of innovation and how to manage innovation within firms is discussed. It also covers new product and services development as part of innovation.

MGT 3922 Introduction of New Ventures 3(3-0-6)

Prerequisite: MGT 2900 Principles of Management

This course provides in-depth knowledge about new venture formation, how to launch a new business venture, how to get ideas to reality, and how to develop strategy.

MGT 4910 Productivity and Quality Management 3(3-0-6)

Prerequisite: MGT 3905 Operations Management

This course deals with philosophy and principles and practices of productivity and quality management. It also covers many concepts, tools, techniques and systems associated with productivity and quality including quality circle, TQM, ISO, benchmarking and Six Sigma.

MGT 4916 Negotiation Strategy 3(3-0-6)

*Prerequisite: MGT 2404 Managerial Psychology
MGT 2900 Principles of Management*

This course is designed to provide students with principles and practices of both domestic and international negotiation, for example in the fields of political, marketing and financial negotiations. It also includes the impact of verbal and non-verbal communications. It explores the negotiating process, including the development of skills in managing conflict resolutions within an organization, how to manage the negotiating process and how to handle the hard bargainer. Students will participate in several live negotiation case studies.

B5. Marketing (MKT)

MKT 3532 Sales Management 3(3-0-6)

Prerequisite: MKT 2280 Principles of Marketing

The management of personal selling process in marketing: types of sales organizations and their relations with other departments of the business; the place of sales research and planning in marketing; the selection of selling methods; credit, collection and sales financing hiring, training, compensating and supervising of salesman.

MKT 3530 Consumer Behavior 3(3-0-6)

*Prerequisite: MGT 2404 Managerial Psychology
MKT 2280 Principles of Marketing*

A study of the nature and determinants of consumer behavior. Attention will be focused on the influence of socio-psychological factors such as personality, various social groups, demographic variables, social class, and culture on the formation of consumers' attitudes. The course will cover consumption and purchasing behavior; including steps in the decision-making process starting from problem identification, information and fact finding, evaluation of alternatives, buying decision, and after-purchase evaluation.

MKT 4725 Competitive Analysis and Strategy 3(3-0-6)

Prerequisite: MKT 2280 Principles of Marketing

The course covers provision of analytical concepts in competitive environment, efficient market functioning, industry analysis, strategic groups in industry and global competition, as well as encompassing analysis for competitive advantage, vertical linkages, corporate diversification, and competitive strategic alliances. It provides an understanding of the rivalry using game theory, product positioning, competitive pricing, and research and development in competitive environment.

MKT 4730 Marketing Management 3(3-0-6)

Prerequisite: MKT 2280 Principles of Marketing

MGT 2900 Principles of Management

Senior standing with 106 credits

A study and discussion of the scope and field of marketing management; the need of marketing and product planning for promotion purpose, the need to plan for new products to replace existing products, and planning for new marketing techniques, including pricing and pricing policies, the techniques of market survey, its objectives and limitations, the management of personal selling, coordination of advertising with all other aspects of marketing, the development of policy toward changing the items comprising the product lines, and the control of marketing operation.

MKT 4809 Marketing for Services 3(3-0-6)

Prerequisite: MKT 2280 Principles of Marketing

Senior standing (Marketing Major)

A study of nature and characteristics of market and consumer behavior for various services; marketing mix for services; structure and development of institutions involved in selling services such as financial institution, banks insurance companies, transportation firms, hotels and various travel industry agencies. The course also covers the comparison between marketing for goods and marketing for services.

B6. Real Estate (REM)

REM 3111 Principles of Real Estate 3 (3-0-6)

Prerequisite: MGT 1101 Introduction to Business

An introductory course of real estate business and professional practices, familiarizing students with real estate business overviews and special terms. Topics include the nature and description of real estate, various types of property, basic rights and interests in real estate, land-use controls, forms of ownership, and an introduction to the various real estate fields of property management, development, investment, real estate finance and real estate appraisal.

REM 3112 Real Estate Law 3 (3-0-6)

Prerequisite: BG 1401 Business Law II

A study of general principles of real estate law and legal aspects which are related to the real estate business. Topics include property rights, subdivision regulations, ownership in real estate, transferring and registering title, regulations of land-use and zoning, housing and condominium development laws, construction laws and other contracts related to the real estate transactions.

REM 3113 Real Estate Economics 3 (3-0-6)

Prerequisite: BG 2400 Macroeconomics

A study of macroeconomic factors that influence demand and supply of real estate business cycle. Students will be introduced to the various aspects of real estate economics including the real estate markets (residential, commercial, industrial, and rural markets), land-use control and the government's role and policies.

REM 3114 Building Design and Construction Techniques 3 (3-0-6)

Prerequisite: None

A study of basic knowledge to understand techniques and process used to design and construct a building. Review terms and symbol used in construction drawings, types of construction materials, construction technology and equipment, quantity take off technique, and scheduling techniques. Study process to construct building structure, architecture, finishing and building mechanical systems.

REM 3211 Principles of Real Estate Development 3 (3-0-6)

Prerequisite: MGT 2900 Principles of Management

REM 3111 Principles of Real Estate

A study of methods in real estate development for various types of real estate business. The course introduces students to the various participants in the real estate development process, both public and private. Students study about the detailed development process, including conceptual development, feasibility study, market analysis, contracting and the basic concepts of project management.

B7. Industrial Management (IDM)

(For students ID 511XXXX and above only)

IDM 3202 Motion and Time Study 3(3-0-6)

Prerequisite: BG 1200 Mathematics for Business

BG 2200 Statistics II

MGT 3905 Operations Management

A study and analysis of work methods, determination of standard time required for production activities, measurement and improvement of productivity, work sampling, identification of alternatives, and incentive systems.

IDM 3203 Logistics and Supply Chain Management 3 (3-0-6)

Prerequisite: MGT 3905 Operations Management

MKT 2800 Principles of Marketing

This course consists of the theory, concepts and techniques of managing the business of physical distribution throughout the Supply Chain. Emphasis is placed on the development of a sound recommendation based on the need for trade off and the appraisal systems. Cases are used to improve analytical skills and in the development of practical action programs. The major course topic areas include: management of logistics systems, transportation for the user location, scheduling and control, and logistics system design.

IDM 3204 Industrial Safety and Risk Management 3 (3-0-6)

Prerequisite: MGT 2900 Principles of Management

This course covers principles of risk management and its process. Methods of handling risks, especially loss prevention is included. The course also emphasizes on how to manage safety procedures and how safety cultures can be built and established among organizational members. It focuses on how safety can lead to cost reduction, productivity and efficiency improvement for the company as a whole.

IDM 4201 Manufacturing Planning and Control 3 (3-0-6)

Prerequisite: MGT 3905 Operations Management

A study of production systems, production aspects and planning, market demand, forecasting, production factors, materials planning and control, determination of production schedule and dispatching, and production control system designs.

IDM 4203 Quality Management 3 (3-0-6)

Prerequisite: MGT 3905 Operations Management

A study of principles and practices on quality management during production process, application of techniques in quality control, quality audit, the relationship between quality control and natural resources-and energy saving. Emphasis on ISO9000 series, which increase efficiency and effectiveness in Industrial business.

B8. Insurance (INS)

(For students ID 511XXXX and above only)

IC 3331 Property Insurance 3(3-0-6)

Prerequisite: IG 1211 Principles of Risk Management and Insurance

This course begins with analysis of an insurance contract and measurement of property and net income loss exposures of a family and the business firm in general, then, examines the major insurance policies and extension available in the Thai market. Basic discussion will be for fire insurance and allied lines, Industrial All risk business interruption insurance, motor insurance, ocean and inland marine insurance and any other modern property insurance for the business firm.

IC 3332 Casualty Insurance 3(3-0-6)

Prerequisite: IG 1211 Principles of Risk Management and Insurance

This course begins with the analysis of the source of legal liability, analyzes the major sources of liability loss exposures of both family and business firm and then, examines the insurance coverages designed to meet those loss exposures. Basic discussion will be for the personal liability insurance, public liability insurance, fidelity guarantee insurance, workers' compensation, employer's liability insurance, professional liability insurance, products liability insurance, surety bond, and a variety of miscellaneous liability insurance.

IG 1211 Principles of Risk Management and Insurance 3(3-0-6)

Prerequisite: None

This course starts with the basic concepts of risk, various terminologies used in risk management and insurance. Students will be introduced to a broad preview of risk management process and the method of handling risk, basic discussions of the principles that underline the field of insurance, and introduction to various types of insurance contracts, such as property, liability, life, health, and government insurance. A preview of the nature and operator of the insurance business is also included.

IL 3321 Principles of Life Insurance 3(3-0-6)

Prerequisite: IG 1211 Principles of Risk Management and Insurance

This course will begin with a look at the history of life insurance and the theory of economic life and health insurance. Emphasis will be given to the features of life insurance products, life insurance contract, and policy ownership rights. The course will also examine the use of life insurance in personal and business financial planning, government insurance programs, and employee benefit plans.

IL 3322 Advanced Life and Health Insurance 3 (3-0-6)

Prerequisite: IL 3321 Principles of Life Assurance

This course begins with analysis and measurement of personal loss exposure, in respect of a family and business firm in general, then, examine the scope of coverage and extension of personal accident insurance, health insurance for medical expense benefit, dismemberment benefit, disability benefit and loss of life benefit. This course also covers theory of group insurance, master contract and certification, and the various aspects and clauses under group insurance contract, marketing and merchandising of group insurance and evaluation of current trends.

FIN 3725 Managerial Economics 3(3-0-6)

*Prerequisite: BG 2401 Microeconomics and
BG 2400 Macroeconomics*

The course applies economic theories and analytical tools used in management decision making in the organizations. Emphasis will be on economic principles and analysis such as demand analysis and forecasting, production and cost analysis, market structure and competitive analysis, game theory, decision making under uncertainty, and organization design.

FIN 3731 Portfolio Management and Security Analysis 3(3-0-6)

Prerequisite: FIN 3711 Investment

The course discusses the management of investment portfolio in terms of portfolio construction, investment timing and security selection focusing on the analytical techniques through economics, industry and business analysis. The course also focuses on asset selection and allocation for constructing an efficient portfolio.

FIN 3733 Institutional Banking for Emerging Markets 3(3-0-6)

Prerequisite: FIN 3712 Money and Capital Markets

The course focuses on characteristics and functions of institutional banking in emerging markets. Products, marketing functions and risk management of institutional banking will be addressed. The discussion is extended to crisis, culture and country risk of emerging countries. It also covers micro finance, commodity finance, problems encountered in the emerging markets and solutions to the problems.

FIN 3743 International Banking 3(3-0-6)

Prerequisite: FIN 3712 Money and Capital Markets

The course focuses on the functions, practices and strategies of international banking and other international financial intermediaries. Topics include basic guidelines in analyzing and managing a bank's exposure to market risk, country risk and other risks.

FIN 3832 Real Estate Investment Analysis and Valuation 3(3-0-6)

Prerequisite: FIN 3701 Corporate Finance

The course is an integration of theories and practice of real estate valuation, real estate investment, portfolio management and legal aspects of real estate. The course is divided into three parts. The first part provides basic introduction to discounted cash flow, common real estate valuation models, appraisal of real estate development projects, as well as the choices of discount rate. The second part provides a formal introduction to risk and return, a comprehensive analysis of the risk-return characteristics of commercial real estate, construction of real estate portfolios and diversification in real estate. The third part examines the efficiency of real estate market and its inflation hedging characteristics, real estate portfolio strategies and performance measurement.

FIN 4721 Laws and Practices in Finance 3(3-0-6)

Prerequisite: FIN 3712 Money and Capital Markets

The course is a comprehensive overview of laws and regulations governing financial institutions, including examination and auditing procedures for commercial banks, trusts, finance companies, insurance companies and pawn shops. The course also includes the formalities and requirements governing the establishment of such institutions as well as ethical standards and rules governing their operations and control.

FIN 4723 Export-Import Financing 3 (3-0-6)

Prerequisite: FIN 3701 Corporate Finance

The course discusses the principles and practices in export-import financing particularly in Thailand, the procurement of credit from all types of institutions in order to finance import and export transactions (trading, contracting, or manufacturing). Discussion also includes viewpoints of financial institutions granting such credit, and pertinent customs procedures and practices. The role of the central bank in such transactions and its rules and regulations on export-import financing will also be discussed.

FIN 4732 Fixed Income Securities 3(3-0-6)

Prerequisite: FIN 3701 Corporate Finance

The course discusses the valuation of debt instruments, investment strategies and risk considerations. It expands on the techniques of market analysis and risk control development as applied to fixed income instruments.

FIN 4821 Behavioral Finance 3(3-0-6)

Prerequisite: FIN 3701 Corporate Finance and
MGT 2404 Managerial Psychology

The course explores imperfect market view of finance by incorporating psychological factors into the classic theories in finance. It covers the influence of human behavior on financial aspects. Terms, theories, assumptions and hypotheses are revisited and discussed to analyze the impacts of human behavior on the world financial markets. The discussion includes limited arbitrage, style investing, stock valuation, portfolio construction, asset allocation, and risk management.

FIN 4822 Individual Research 3(3-0-6)

Prerequisite: Senior standing (Finance and Banking Major)

This course aims at developing students the ability to apply theoretical concepts to the real world problems of any organization in a selected industry in Thailand. A specific area of concentration will be selected by the students upon the agreement of a supervisor assigned by the Finance and Banking Department. A typewritten report and analysis must be submitted at the end of the academic semester.

FIN 4831 Derivative Securities 3 (3-0-6)

Prerequisite: FIN 3711 Investment

The course aims to introduce the students with an understanding of derivatives securities including financial futures, options and swaps. The focus is on the institutional aspects of derivative markets, pricing models, and hedging techniques.

FIN 4832 Entrepreneurial Finance 3(3-0-6)

Prerequisite: FIN 3701 Corporate Finance

The course studies the analysis of ideas of creating new products and services and starting businesses in order to be successful in the market place. The course focuses on venture capital, financing a new or small and medium sized enterprises including forecasting the firm's investment needs, funding and banking relationship, working capital management, assets investment, risk management, deal structuring and harvesting.

FIN 4841 Credit and Risk Management 3(3-0-6)

Prerequisite: FIN 3711 Investment

The course emphasizes on the roles and responsibilities of financial institutions in analyzing and structuring credit as well as restructuring problem loans. The course also covers credit and risk management techniques for financial and non-financial institutions.

FIN 4931 Seminar in Investment 3(3-0-6)

Prerequisite: Department approval and Senior standing (121 credits)

The course aims to provide an integrating experience for the students to contemporary investment instruments regarding equity investment, fixed-income investments (debt instruments), mutual fund and derivatives investment. The course also focuses beyond the theories or definition to acknowledge various types of investment products and their importance in the financial markets by applying case studies, attending seminars (organized by Stock Exchange of Thailand: SET and Thailand Securities Institute: TSI) as well as inviting guest lecturers (executive level) from various fields of business and research.

FIN 4941 Seminar in Financial Institutions 3(3-0-6)

Prerequisite: Department approval and Senior standing (121 credits)

The primary objective of this course is to provide an integrating experience for the students to contemporary financial institutions issues and reading of journal articles related to financial institutions by applying a case study based approach as well as guest lecturers from various fields (executive level) of business and research.

Corporate	Investment
<ul style="list-style-type: none"> ▪ Business development ▪ Financial analyst ▪ Corporate analyst ▪ Value based management consultant ▪ Corporate accounting ▪ Management consultant ▪ Strategic planner ▪ Treasury staff ▪ Investment banker ▪ Commercial lender ▪ Planning and budgeting analyst ▪ Long range planning and investment analyst ▪ Credit and cash manager ▪ Benefits officer ▪ Real estate officer ▪ Investor relations officer ▪ Controller (Internal Finance) ▪ Treasurer (External Finance) ▪ Financial Planning and Forecasting ▪ Chief financial officer ▪ Financial advisor ▪ Project manager 	<ul style="list-style-type: none"> ▪ Securities trader/broker ▪ Research analyst ▪ Investment banker ▪ Private placement analyst ▪ Securities analyst ▪ Mutual fund analyst/manager ▪ Portfolio analyst/manager ▪ Merger and acquisitions manager ▪ Project finance manager ▪ Structured finance ▪ Derivatives analyst/trader ▪ Advisory ▪ Equity and fixed income research ▪ International sales/emerging markets ▪ Public finance officer ▪ Retail brokerage ▪ Institutional sales ▪ Financial consultant/ analyst ▪ Personal financial planner ▪ Money manager ▪ Commodities trader ▪ Financial advisor ▪ Investment consultant ▪ Credit and risk management
Commercial	Insurance
<ul style="list-style-type: none"> ▪ Credit analyst/ manager ▪ Credit evaluation assistant ▪ Risk analyst ▪ Loan officer ▪ Branch manager ▪ Trust officer ▪ Mortgage banker ▪ Vault teller ▪ Teller supervisor ▪ Bank examiner ▪ Securities trader ▪ Treasurer ▪ International banking officer 	<ul style="list-style-type: none"> ▪ Actuary ▪ Insurance agent and broker ▪ Risk manager ▪ Underwriter ▪ Claims adjuster/ examiners ▪ Property manager ▪ Real estate analyst ▪ Real estate agent ▪ Real estate broker ▪ Real estate associate

Courses Offered at ABA/C/Others		Corporate Perspective															
		Consulting	Corporate Finance				Capital Markets				Sales and Trading			Investment Management	Private Equity		
FIN3701	Corporate Finance/ Portfolio Management	✓	✓	✓	✓	✓									✓	✓	
FIN3731																	
FIN4813	FM	✓	✓	✓	✓										✓	✓	
FIN4812	IFM	✓	✓	✓	✓										✓	✓	
FIN4815	Bank MGT / International Banking	✓	✓	✓		✓	✓	✓							✓		
FIN3743																	
FIN3712	Money and Capital Markets	✓	✓	✓	✓	✓									✓	✓	
FIN3714	BCA / Economics of Strategic Behavior	✓			✓										✓		
FIN4832	Entrepreneurial Finance	✓				✓									✓	✓	
MGT4914	Entrepreneurship															✓	✓
FIN4812	International Finance / International Financial Decision	✓			✓											✓	✓

Courses Offered at ABAC /Others		Consulting		Corporate Finance				Capital Markets					Sales and Trading		INV Management	Private Equity		
				Treasury	Relationship Management	Mergers and Acquisitions	Underwriting	Commercial Paper	Underwriting	Derivatives	Real Estate and Mortgage Finance	Risk Management and Funding	Trading	Institutions Sales	Private Clients	Fund Management	Equity Research	Venture Capital
Portfolio Perspective																		
FIN3711	Investment Portfolio Management			✓	✓										✓	✓	✓	✓
FIN3731	Business Analysis and Valuation														✓	✓	✓	✓
FIN3713	Business Analysis and Valuation														✓	✓	✓	✓
Market Perspective																		
FIN3712	Money and Capital Market Financial Market and Policy	✓	✓	✓			✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
FIN4732	Fixed Income Securities	✓	✓	✓			✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
FIN4831	Derivatives Securities / Options Market		✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
FIN3832	Real Estate Investment Analysis and Valuation		✓	✓			✓		✓			✓			✓	✓	✓	✓
Corporation and Macro Economy																		
IBM3713	International Management	✓	✓		✓					✓					✓	✓		✓
FIN4816	Corporate Strategy/Business Strategies for Emerging Market	✓			✓	✓									✓	✓		✓
FIN4814	Quantitative Methods	✓			✓	✓				✓	✓				✓	✓		✓

Student Development Activities 2010

No.	Name of the Competition	Description	Type	Concerned Department(s)
1	Hong Kong and Shanghai Bank	Business Strategies	External	IBM, BIS
2	Junior Marketing Association of Thailand	Marketing Case Competition	External	Marketing
3	L'Oreal BrandStorm Competition	Marketing Plan, Brand Management	External	Marketing
4	L'Oreal E-Strat Challenge	Marketing Plan and Strategies	External	Marketing
5	Money Market Award (MMA)	Investment Strategies	External	Finance and Banking
6	Student In Free Enterprise (SIFE)	Social Responsibility Projects	External	All departments
7	TDRY Young Researcher Competition	TBA	External	All departments
8	Thai Business Game Competition	Balanced Scorecard	External	Management, IBM
9	Thailand Management Association Scholarship (TMA)	Community Business	External	Management
10	Thammasat University Business Challenge (TUBC)	Business Case Competition	External	All departments
11	Assumption University Case Study Challenge (AUBC)	Business Case Competition	Internal	All Departments
12	Undergraduate Financial Case Competition (UFCC)	Business Case Competition	External	Finance and Banking
13	Young Fund Manager (AJF Fund Manager)	Online Stock Trading	External	Finance and Banking, IBM
14	SET Click2WIN	Online Trading	External	All departments
15	CFA Competition	Finance	External	Finance and Banking
16	MFC Talent Award	Finance	External	Finance and Banking
17	New Investors Program	Finance	External	Finance and Banking
18	CIMS International Marketing Competition	Business Plan Competition	External	All departments
19	HPAIR Business Conference	Business	External	All departments
20	MSIG Think Bright Internship	Finance, Economics and Insurance Training	External	Finance, Economics and Insurance
21	Unilever Business Camp	Summer Executive Training	External	All departments
22	Cement Thai Executive Training	Summer Executive Training	External	Finance and Banking, Accounting
23	CP Executive Training	Summer Executive Training	External	Finance and Banking, Accounting
24	ESSO Challenge	Summer Executive Training	External	Finance and Banking, IBM, Accounting
25	Financial Advisor Stars 2007 (FAST program)	Summer Executive Training	External	Finance and Banking, IBM
26	General Motor Executive Training	Summer Executive Training	External	Finance and Banking, IBM, Accounting
27	Other New Internal Activities by departments	TBA	Internal	All Departments
28	Inter-Collegiate Finance Competition (ICFC) 2010	International Competition	External	The Philippines

Full-Time Faculty Members

Title	Name	Bang Na Campus	Email Address
Bro.	Anupatt Petchara-Yuttachai Vice President for Financial Affairs	Q 6, SM 109	
Dr.	Cherdpong Sibunruang Dean, MSM	D6 #1125 MSM 2 nd fl # 5137	
A.	Radha Sirianukul Chairperson, Department of International Business Management	D6, Rm#11 # 1125 MSM 2 nd floor # 5137	sirianukul@gmail.com
Dr.	Wiyada Nittayagasetwat Program Director and Course Advisor, Ph.D. in Business Administration	D5, Rm # 2 # 3302	bbawtt@hotmail.com
Dr.	Ing-Wei Huang Chairperson, Department of Business Economics	D 6 # Rm # 17 #1183 MSM 2 nd fl # 5137	ingwei114@gmail.com
Dr.	Pathathai Sinliamthong Chairperson, Department of Finance and Banking	D6, Rm # 9 # 1125 MSM 2 nd fl # 5137	pathathaisnl@gmail.com
Dr.	Malinee Ronapat Director Students Development Unit	MSM 2 nd fl # 5137	kukkoo12@hotmail.com
Dr.	Teerasak Na Ranong	CL 1201 # 2122	ntterasak@hotmail.com
Dr.	Wiparat Chuanrommanee	CL 1204 # 2124	wiparatster@gmail.com
Dr.	Charoenchai Agmapisarn	SM326 # 2653	roenbkk@gmail.com
Dr.	Chainarin Srinutshasad	CL1204 # 2124	srinutshasad@hotmail.com
Dr.	Niruncha Lewchalermwongse	CL 1201 # 2122	niruncha@hotmail.com
A.	Rattana Waranyasathit	SM 323 # 2650	rattananihao@gmail.com
A.	Khin Zar Win Htin	SM 322 # 2649	khinzarwh@gmail.com
A.	Nopphon Tangjitprom	CL 1204 # 2124	yun_na@hotmail.com
A.	Teerapong Praserttranadacho	CL 1204 # 2124	iamteerapong@hotmail.com
A.	Marisa Laokulrach	CL 1204 # 2124	marisa.laokulrach@gmail.com
A.	Roger Sangsuchat	CL 1201 # 2122	sangsuchat@hotmail.com
A.	Piyanun Suwanmena	CL 1204 # 2124	piyanan1968@yahoo.com
A.	Vesarach Aumeboonsuk	On-study leave	vesarach@gmail.com
A.	Peerawich Thoviriyavej	On-study leave	peerawicht@hotmail.com
A.	Panitee Karnsomdee	On-study leave	cherry_panitee@yahoo.com

Part-time Faculty Members

Title	Name	Email Address
Dr.	Kaveepong Lertwachara	kaveepong@gmail.edu
A.	Thanarat Watcharapisudhi	thanarat786@yahoo.com crescent_thai@hotmail.com
A.	Watsakorn Theepthim	watsakorn@tisco.co.th
A.	Jiroj Buranasiri, CFA	jirodb@yahoo.com
A.	Visit Phunnarungsi	visit_thailand@hotmail.com
A.	Ithidech Sukitjavanich	ithidech@gmail.com
A.	Vuthinand Singhrakthai	pappu@singhrakthai.com
A.	Kamolmas Nimwatana, CFA	kamolmas.nim@bbl.co.th komolmasn@hotmail.com
A.	Paopitaya Smutrakalin	paopitayas@hemaraj.com
A.	Thaweewoot Amorndetthawin	thaweewoot@gmail.com
A.	Worawat Saisuphatphol, CFA	vipworawat@hotmail.com worawats@kgi.co.th
A.	Chaichana Pravesvararat	ceazar_kingz@yahoo.com a.ceazar.eco@hotmail.com
A.	Nanthasit Leksrissakul	nanthasit@gmail.com

Adjunct Faculty Members

Title	Name	Dept	Office	Email Address
Dr.	Hla Theingi	IBM	SM322 #2649	ibmhtg@gmail.com
Dr.	Chayakrit Asvathitanont	IBM	SR 604 # 2778	chayakrita@gmail.com
Dr.	Aung Kyaw San	ECO	SR 343 # 2760	aungkyawsan@au.edu
Dr.	Yodying Kongtong	ECO	CL 1204 # 2124	yodyingkng@au.edu
Dr.	Wanida Ngienthi	ECO	SM 201	wanida_ngienthi@yahoo.com
Dr.	Suppanunta Mookkakul	ECO	SM326 # 2653	thailandsuppanunta@yahoo.com thailandsuppanunta@hotmail.com
A.	Pallapa Petchworakul	ECO	CL 1204 # 2124	paula_aom@hotmail.com, pallapa@gmail.com
A.	Chairath Chayanwat	ECO	CL1204 # 2124	chairathchy@gmail.com chairathc@hotmail.com
A.	Chanikarn Tosompak	ECO	CL 1204 # 2124	mizzteresaa@yahoo.com
A.	Sandeep Khanijou	ECO		sandeep.khanijou@gmail.com
A.	Zhudran Zhang	ECO		jerryzzr@hotmail.com
A.	Issara Titheesawad	ECO	On-leave	

FIFA 2010 Student Committee

Name	ID	Nick name	Position	Phone No.	Email Address
Karoon W.	5016648	Roon	President	0838811713	kasuyakuro@hotmail.com
Kornpapah S.	5014896	Bee	Vice-In	0814979189	tidtee_bee@hotmail.com
Prakasit L.	5010543	Ford	Vice-Ex	0898333740	banoffeelife@hotmail.com
Sudaporn K.	5014202	Meaw	Treasurer	0850606828	meaw_kalo@hotmail.com
Rangsima K.	5016293	Beau	Secretary	0897257757	beau01996@hotmail.com
Komkrit R.	5012656	May	Academic&Document	0817429723	komkrit_r@hotmail.com
Wahloonluck C.	5014893	June	Creative	0835461082	june_wwa132@hotmail.com
Karuesit T.	5036909	Note	Sponsor	0816422688	ultramadness@hotmail.com
Worapong J.	5010086	Ping	Sponsor	0866023395	oasis_worapong@hotmail.com
Pimporn P.	5010819	Palm	Activity	0892963917	pcgang_palm@hotmail.com
Peerakit P.	5012834	Tae	Activity	0851867255	behind_ac121@hotmail.com
Ploywarong C.	5011961	Bam	Welfare	0818899341	dedi_bam@hotmail.com
Sumet S.	5013577	Best	Welfare	0859519993	sumet_7_9@hotmail.com
Nawarat P.	5010178	Meen	PR	0844399177	justme_jazmin@hotmail.com
Boonsiri S.	5012663	Tick	PR	0866101942	tickqwerty@hotmail.com

FIFA 2010 Staff

Name	ID	Nick name	Phone No.	Email Address
Phumipart N.	4913609	J	0899887006	tanphumipart@hotmail.com
Onticha V.	5010223	Kam	0869894040	kam_cheeky@hotmail.com
Nattaporn P.	5010210	Jik	0851219884	ladyjik@hotmail.com
Tipaporn P.	5010186	Krist	0819091628	kiss_is_me@hotmail.com
Sittikorn M.	5011109	Tew	0817547812	tewthai@hotmail.com
Sukrit M.	5016204	Benz	0869069969	Benzmukma36@hotmail.com
Supalak S.	5014454	Pukpui	0870444410	pukpukpui@hotmail.com
Sithi N.	5046821	Oat	0873456666	oat_ikaros@hotmail.com
Phalakorn B.	5011436	Korn	0891591037	lovekornna@hotmail.com
Nutchapol P.	5016090	Boom	0863250662	boomsk125@hotmail.com
Patawadee M.	5016821	Patt	0899271722	patta_na_ja@hotmail.com
Yupaporn N.	5016842	Jebbie	0852390230	yakuya_jb_wazabi@hotmail.com
Rong Z.	5035239	Denise	0831890167	denisezeng89@hotmail.com
Junnan C.	5035683	Nan	0892033118	junnanlovelove@hotmail.com
Benhyahthip A	5014892	Mew	0832546662	mew_pupils@hotmail.com
Saranya N.	5014911	Mix	0894417222	mixky_jang@hotmail.com
Naritsara K.	5012015	Joon	0843624318	fat_people@hotmail.com
Anchisa W.	5013115	Tai	0853357343	ta_i_ta_i_ii@hotmail.com
Bunnakorn S.	5016228	James	0846955321	thefamily@hotmail.com
Saya B.	5015246	Saya	0849180304	saya-dokkincocoyo@hotmail.co.jp

Boom

F-I-F-I-F-I-N

N-A-N-A-N-C-E

FINANCE FINANCE

FIFA

YEAH

IMPORTANT ISSUES

1. **Declaration of CONCENTRATION A, B, C.**
2. **Follow suggested study plan in the chosen concentration strictly.**
3. **Prerequisites and credit requirement must be completed.**

Example:

- FIN4812 International Finance, FIN3701 and 106 credits
- FIN4813 Financial Management, FIN3701 and 106 credits
- FIN4816 Corporate Strategy and Financial Policies, FIN3701 and 121 credits

4. **Maximum credit allowance:**

GPA	Maximum Number of Subjects	Maximum Number of Credits
Less than 2.00	4	12
Less than 3.25	6	18
More than 3.25	7	21

5. **Major requirement**

- Students must *declare major* (55 credits, including the current semester) first to be eligible to take the major required subjects.
- Not offered in summer

6. **Major electives**

- Opened in summer and regular semester (check with the Finance Booklet)
- Recommended to take whenever it is offered

7. **Free electives**

- Any course in ABAC and also followed the NEW curriculum

8. **Campus conflict exam: ALLOWED.**

9. **Time conflict exam: NOT ALLOWED, except graduating students.**

10. **Double concentrations, majors: ALLOWED**

